

Mindshine Security & Compliance Package

Mindshine Technologies Inc. · Version 1.1 · August 12, 2026

This package describes the security program that protects the **TIVA Cortex** data-governance platform and the **TIVA Flow** workflow engine. Version 1.1 replaces the August 10 draft: every statement has been validated against current operational practice and against the evidence held on Cortex's continuous-audit system of record (an append-only, hash-chained evidence log). Control statuses are now stated honestly per control – **Operating**, **In progress**, or **Planned** – rather than uniformly marked implemented.

This package is management-prepared. It is not a SOC 2 report, certification, or independent attestation. A management-prepared SOC 2 readiness assessment (document 18) is complete; examination by a licensed CPA firm is the scheduled next step.

Index

#	Document	Status
01	Executive Security Overview	Operating
02	SOC 2 Type II Status & Roadmap	Readiness complete · examination scheduled
03	Information Security Policy	Operating
04	Access Control & Identity Management Policy	Operating
05	Data Protection & Encryption Policy	Operating
06	Vulnerability Management Policy	Operating
07	Secure Software Development Lifecycle Policy	Operating
08	Incident Response Plan	Operating
09	Business Continuity & Disaster Recovery Plan	Operating · restore rehearsal scheduled
10	Change Management Policy	Operating
11	Employee Security & Security Awareness Policy	Operating
12	Third-Party & Vendor Risk Management Policy	Operating · one DPA in progress
13	Data Retention & Destruction Policy	Operating · TTL automation in progress
14	System Architecture & Data Flow Security Overview	Current
15	Cloud & Infrastructure Security Policy	Operating

#	Document	Status
16	Security Testing & Penetration Testing Program	Automated testing operating · pen test planned
17	SOC 2 Control Matrix	Current
18	SOC 2 Readiness & Audit Status Package	Readiness complete

Document owner: Chief Technology Officer (Ken Bakke), with executive oversight by the CEO (Igor Babushkin).

Review cycle: at least annually and upon material change. **Security contact:** security@mindshine.com.